

PATENT
Atty Docket No.: 100110474-2

In The U.S. Patent and Trademark Office

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OCT 31 2006

In Re the Application of:

Inventor(s): Robert J. Gallagher et al.

Confirmation No.: 9510

Serial No.: 09/995,318

Examiner: Florian M. Zecender

Filed: November 26, 2001

Group Art Unit: 3627

Title: INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR
FINANCIAL DATA COMPUTATION AND ANALYSIS

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TO THE USPTO

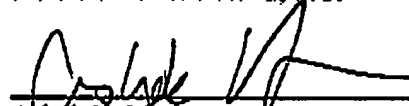
I hereby certify that this correspondence is being transmitted to the Patent and Trademark Office facsimile number (571) 273-8300 on October 31, 2006. This correspondence contains the following document(s):

1 sheet of Transmittal Letter for Response/Amendment (2 copies).

16 sheets of Amendment Under 37 C.F.R. § 1.116.

Respectfully submitted,

MANNAVA & KANG, P.C.


Ashok K. Mannava
Reg. No.: 45,301

October 31, 2006

MANNAVA & KANG, P.C.
8221 Old Courthouse Road
Suite 104
Vienna, VA 22182
(703) 652-3822
(703) 880-5270 (facsimile)

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MANNAVA & KANG, P

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(FAX) 703 865 5150

P. 002/019

Intellectual Property Administration
P. O. Box 272400
Fort Collins, Colorado 80527-2400

OCT 31 2006

PATENT APPLICATION

ATTORNEY DOCKET NO. 100110474-2

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert J. Gallagher et al.

Confirmation No.: 9510

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Examiner: Zeender, Florian

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Title: INTELLIGENT APPARATUS, SYSTEM AND METHOD FOR FINANCIAL DATA
COMPUTATION AND ANALYSISMail Stop AF
Commissioner For Patents
PO Box 1450
Alexandria, VA 22313-1450TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment () Petition to extend time to respond
 () New fee as calculated below () Supplemental Declaration
 (X) No additional fee
 () Other: _____ (fee \$ _____)

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	13	MINUS	20	= 0	X \$50	\$ 0
INDEP. CLAIMS	4	MINUS	18	= 0	X \$200	\$ 0
[] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$360	\$ 0
EXTENSION FEE	1ST MONTH \$120.00	2ND MONTH \$450.00	3RD MONTH \$1020.00	4TH MONTH \$1590.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Robert J. Gallagher et al.

By

Ashok K. Mannava

Attorney/Agent for Applicant(s)
Reg. No. 45,301

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Number of pages: 19

Typed Name: Judy H. Chung

Signature: 

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Typed Name: Judy H. Chung

Signature: Judy H. Chung

Robert J. Gallagher et al.

By Ashok K. Mannava

Ashok K. Mannava

Attorney/Agent for Applicant(s)
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Date: Oct. 31, 2006

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HEWLETT-PACKARD COMPANY
Intellectual Property Administration
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Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. § 1.116

Sir:

In response to the Office Action dated August 2, 2006, kindly amend the application identified above as follows.